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Quantum quenches and Fano resonances  
in holographic strange metals with magnetic impurities

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# Kondo models from gauge/gravity duality

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Kondo effect:

Screening of a magnetic impurity by conduction electrons at low temperatures

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Motivation for study within gauge/gravity duality:

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## Kondo effect:

Screening of a magnetic impurity by conduction electrons at low temperatures

## Motivation for study within gauge/gravity duality:

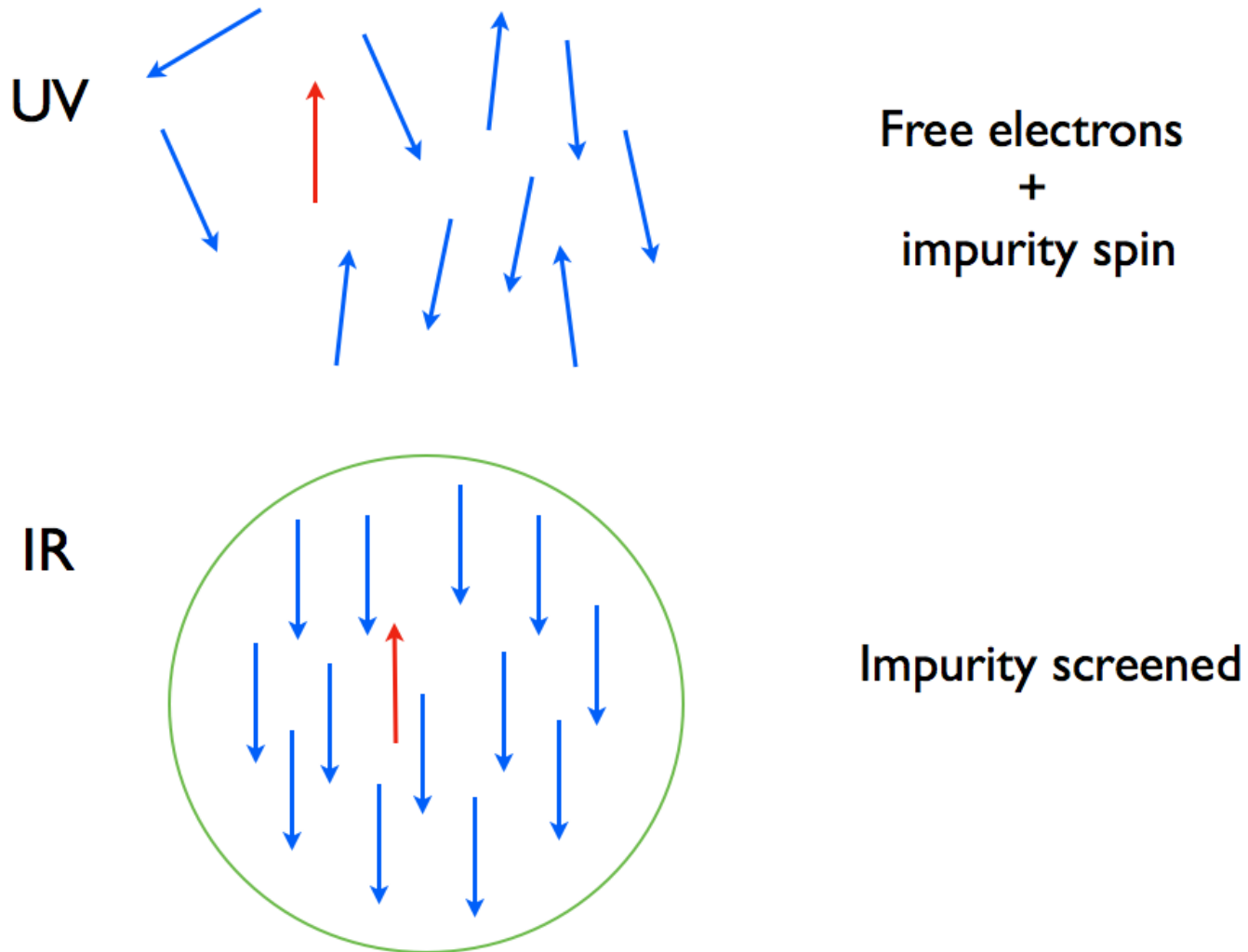
1. New applications of gauge/gravity duality to condensed matter physics:
  - Magnetic impurity coupled to strongly correlated electron system
  - Entanglement entropy, quantum quenches
2. Simple model for a RG flow with dynamical scale generation (as in QCD)
3. Example for holographic  $g$ -theorem
4. Relation to Sachdev-Ye-Kitaev model

## Kondo models from holography

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- – Model J.E., Hoyos, O'Bannon, Wu 1310.3271, JHEP 1312 (2013) 086
- Entanglement entropy J.E., Flory, Newrzella 1410.7811, JHEP 1501 (2015) 058  
J.E., Flory, Hoyos, Newrzella, O'Bannon, Wu 1511.03666, Fortsch.Phys. 64 (2016)
- Two-point functions J.E., Hoyos, O'Bannon, Papadimitriou, Probst, Wu JHEP 1703 (2017) 039 , PRD 96 (2017) no.2, 021901
- Quantum quenches J.E., Flory, Newrzella, Wu JHEP 1704 (2017) 045

# Kondo effect



# Kondo model

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Original Kondo model (Kondo 1964):

Magnetic impurity interacting with free electron gas

Hamiltonian:

$$H = \frac{v_F}{2\pi} \psi^\dagger i \partial_x \psi + \lambda_K v_F \delta(x) \vec{S} \cdot \vec{J}, \quad \vec{J} = \psi^\dagger \frac{1}{2} \vec{T} \psi$$

Decisive in development of renormalization group

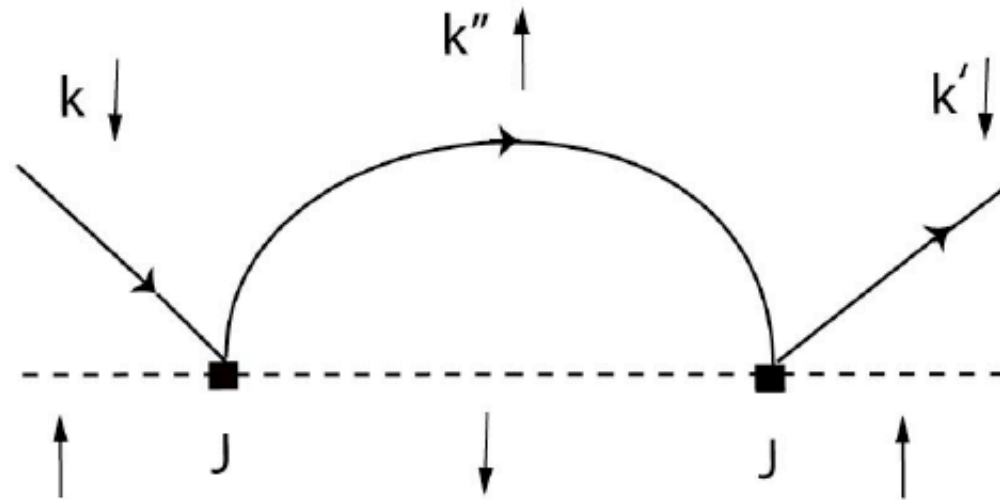
IR fixed point, CFT approach Affleck, Ludwig '90's

Solution using Bethe ansatz Andrei, Wiegmann 80's

Large  $N$  Kondo model Read, Newns, Coleman, ... 80's



## Scattering with magnetic impurities



$$\rho \sim \rho_0 \left( 1 + \kappa \log \frac{T}{|\epsilon - \epsilon_F|} \right)$$

Antiferromagnetic coupling  $\kappa < 0$

# Logarithmic behaviour at low temperatures

J. Kondo 1964

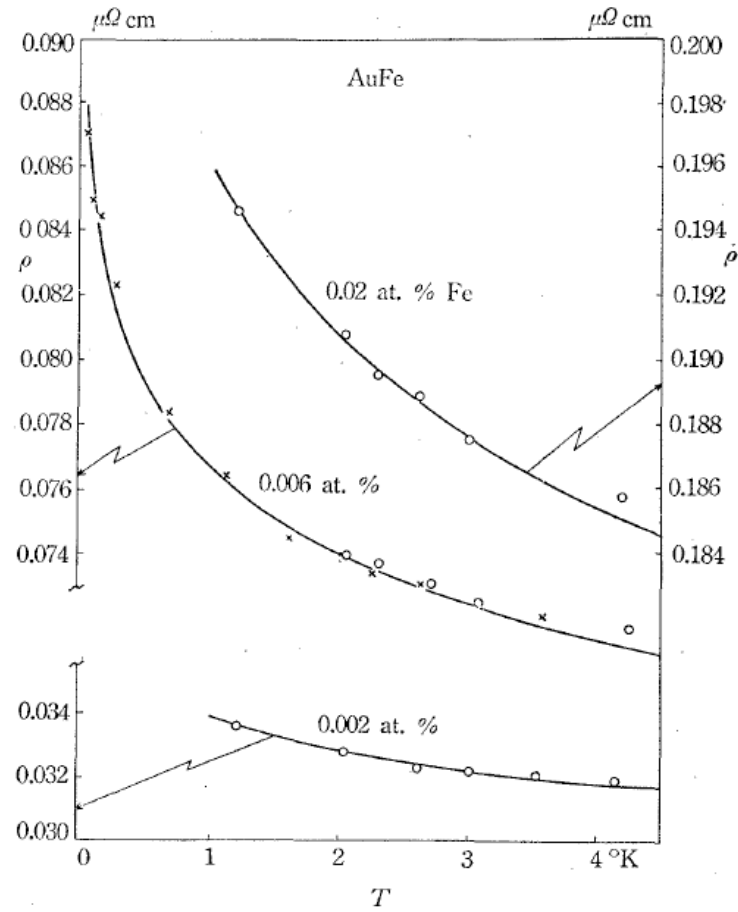


Fig. 1. Comparison of experimental and theoretical  $\rho$ - $T$  curves for dilute AuFe alloys.

## Breakdown of perturbation theory

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$$\rho \sim \rho_0 \left( 1 + \kappa \log \frac{T}{|\epsilon - \epsilon_F|} \right)$$

Perturbation theory breaks down at  $T_K = |\epsilon - \epsilon_F|e^{1/\kappa}$

$T_K$ : Kondo temperature

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$$T_K \sim \Lambda_{\text{QCD}}$$

## Kondo models from gauge/gravity duality

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Gauge/gravity requires large  $N$ : Spin group  $SU(N)$

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Gauge/gravity requires large  $N$ : Spin group  $SU(N)$

In this case, interaction term simplifies introducing **slave fermions**:

$$S^a = \chi^\dagger T^a \chi$$

Totally antisymmetric representation: Young tableau with  $Q$  boxes

**Constraint:**  $\chi^\dagger \chi = Q$

**Interaction:**  $J^a S^a = (\psi^\dagger T^a \psi)(\chi^\dagger T^a \chi) = \mathcal{O} \mathcal{O}^\dagger$ , where  $\mathcal{O} = \psi^\dagger \chi$

Screened phase has condensate  $\langle \mathcal{O} \rangle$

Coleman PRB 35, 5072 (1987)

Parcollet, Georges, Kotliar, Sengupta cond-mat/9711192, PRB 58 (1998) 3794

Senthil, Sachdev, Vojta cond-mat/0209144, PRL 90 (2003) 216403

# Kondo models from gauge/gravity duality

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J.E., Hoyos, O'Bannon, Wu 1310.3271, JHEP 1312 (2013) 086

Coupling of a magnetic impurity to a strongly interacting non-Fermi liquid

J.E., Hoyos, O'Bannon, Wu 1310.3271, JHEP 1312 (2013) 086

## Coupling of a magnetic impurity to a strongly interacting non-Fermi liquid

### Results:

- RG flow from perturbation by 'double-trace' operator
- Dynamical scale generation, **screening**
- Holographic superconductor: Condensate forms in  $AdS_2$
- Power-law scaling of resistivity in IR with real exponent
- Holographic **entanglement entropy** from including backreaction
- **Quantum quench**: Equilibration dominated by quasinormal modes
- **Fano resonance** in spectral function (spectral asymmetry)



# Kondo models from gauge/gravity duality

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J.E., Hoyos, O'Bannon, Wu 1310.3271, JHEP 1312 (2013) 086

## Top-down brane realization

	0	1	2	3	4	5	6	7	8	9
$N$ D3	X	X	X	X						
$N_7$ D7	X	X			X	X	X	X	X	X
$N_5$ D5	X				X	X	X	X	X	

- 3-7 strings: Chiral fermions  $\psi$  in 1+1 dimensions
- 3-5 strings: Slave fermions  $\chi$  in 0+1 dimensions
- 5-7 strings: Scalar (tachyon)

## Near-horizon limit and field-operator map

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**D3:**  $AdS_5 \times S^5$

**D7:**  $AdS_3 \times S^5 \rightarrow$  Chern-Simons  $A_\mu$  dual to  $J^\mu = \psi^\dagger \sigma^\mu \psi$

**D5:**  $AdS_2 \times S^4 \rightarrow \begin{cases} \text{YM } a_t \text{ dual to } \chi^\dagger \chi = q \\ \text{Scalar dual to } \psi^\dagger \chi \end{cases}$

Operator		Gravity field
Electron current $J$	$\Leftrightarrow$	Chern-Simons gauge field $A$ in $AdS_3$
Charge $Q = \chi^\dagger \chi$	$\Leftrightarrow$	2d gauge field $a$ in $AdS_2$
Operator $\mathcal{O} = \psi^\dagger \chi$	$\Leftrightarrow$	2d complex scalar $\Phi$

# Bottom-up gravity dual for Kondo model

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**Action:**

$$S = S_{\text{Einstein-Hilbert}} + S_{CS} + S_{AdS_2},$$
$$S_{CS} = -\frac{N}{4\pi} \int_{AdS_3} \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right),$$

$$S_{AdS_2} = -N \int dx dt dz \delta(x) \sqrt{-g} \left[ \frac{1}{4} \text{Tr} f^{mn} f_{mn} + g^{mn} (D_m \Phi)^\dagger D_n \Phi + V(\Phi^\dagger \Phi) \right]$$

$$V(\Phi) = M^2 \Phi^\dagger \Phi$$

**Metric:** BTZ black hole

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \frac{1}{z^2} \left( \frac{dz^2}{h(z)} - h(z) dt^2 + dx^2 \right),$$

$$h(z) = 1 - z^2/z_H^2, \quad T = 1/(2\pi z_H)$$

**AdS<sub>2</sub> gauge field:** asymptotically  $a_t = \frac{Q/N}{z} + \mu$

Boundary expansion

$$\Phi = z^{1/2}(\alpha \ln z + \beta)$$

$$\alpha = \kappa\beta$$

$\kappa$  dual to double-trace deformation

Witten hep-th/0112258

Berkooz, Sever, Shomer hep-th/0112264

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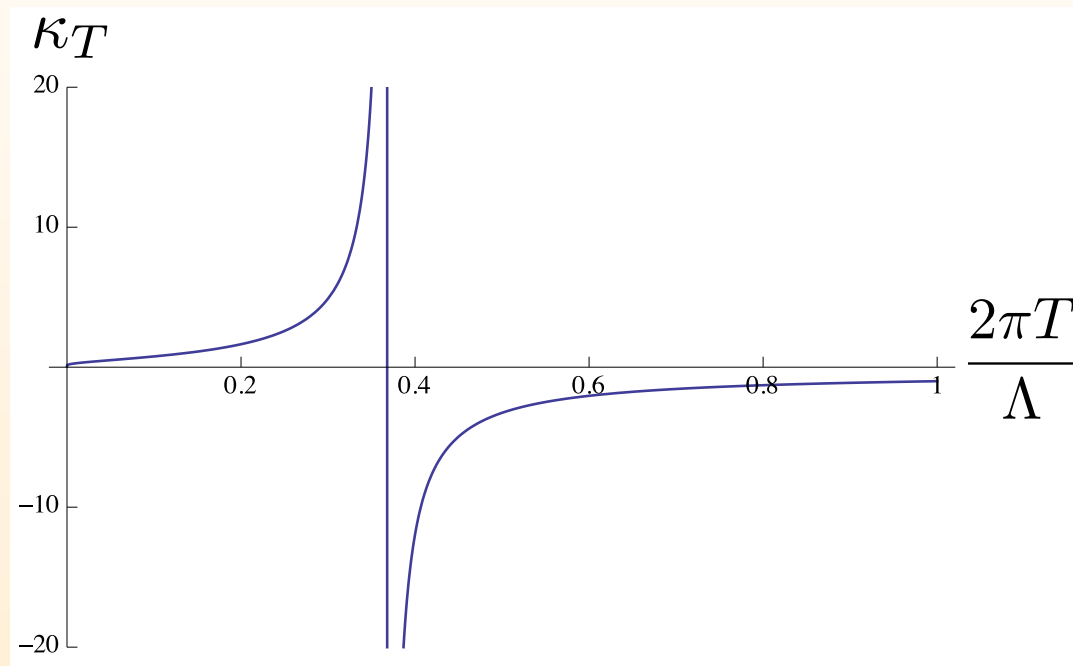
Berkooz, Sever, Shomer hep-th/0112264

$\Phi$  invariant under renormalization  $\Rightarrow$  Running coupling

$$\kappa_T = \frac{\kappa_0}{1 + \kappa_0 \ln\left(\frac{\Lambda}{2\pi T}\right)}$$

Dynamical scale generation

## Scale generation

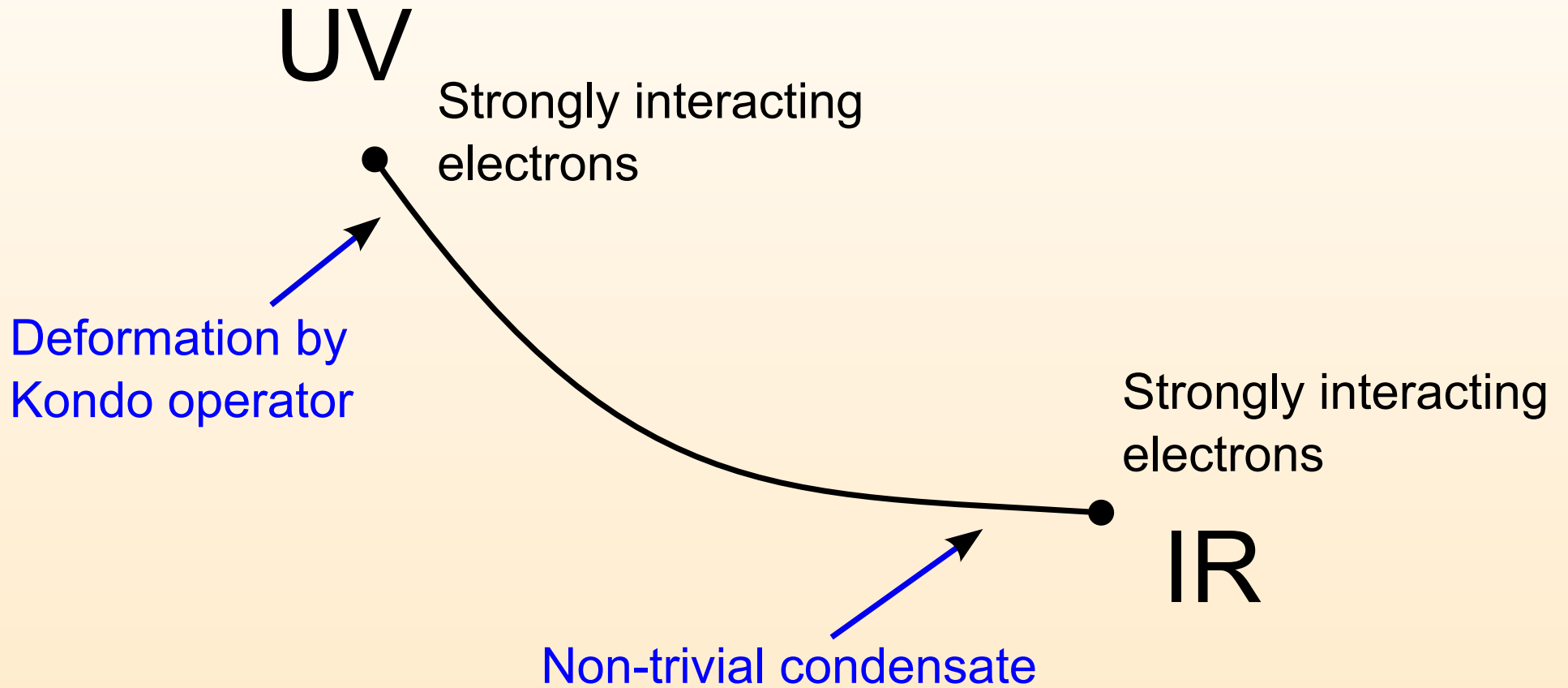


Divergence of Kondo coupling determines Kondo temperature  $T_K$

Transition temperature to phase with condensed scalar:  $T_c$

$$T_c < T_K$$

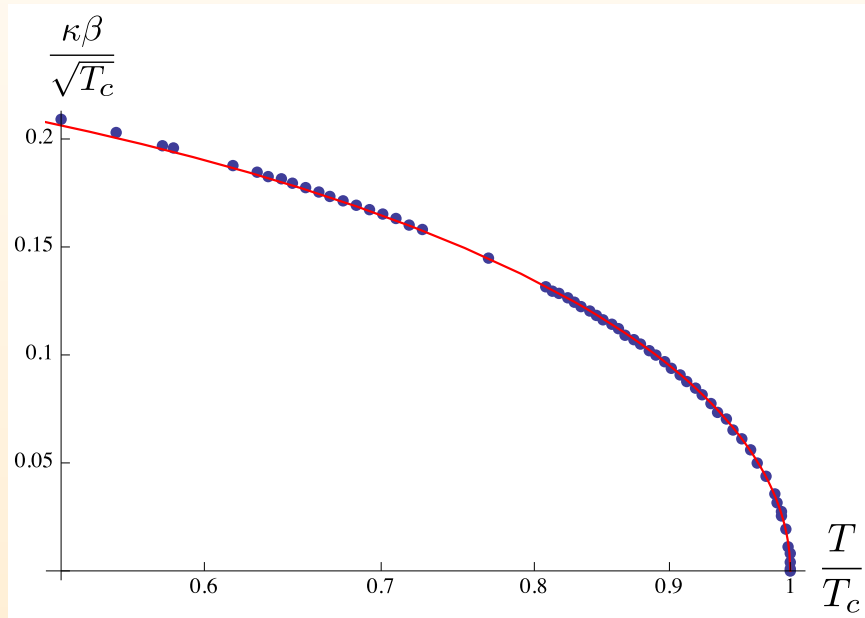
RG flow



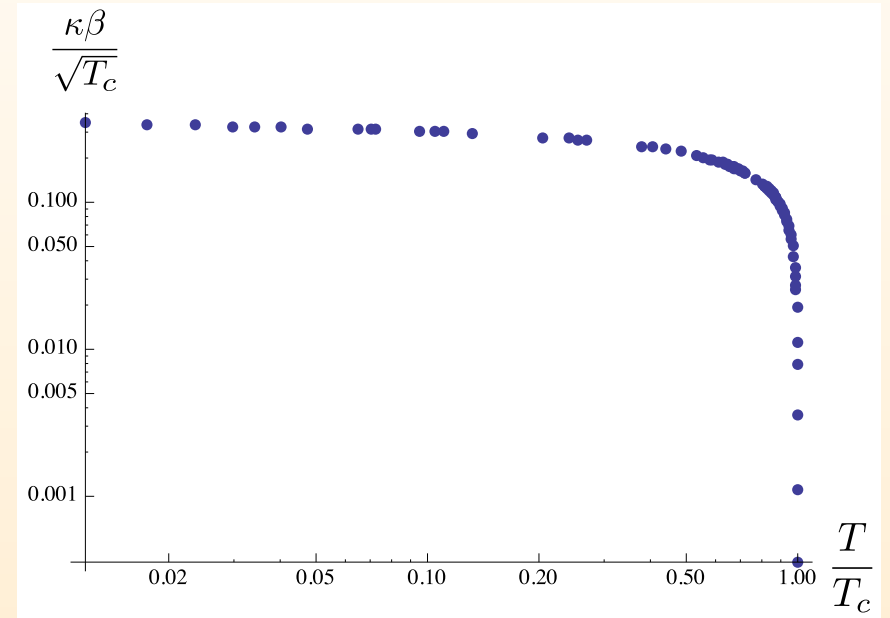


# Kondo models from gauge/gravity duality

Normalized condensate  $\langle \mathcal{O} \rangle \equiv \kappa\beta$  as function of the temperature



(a)

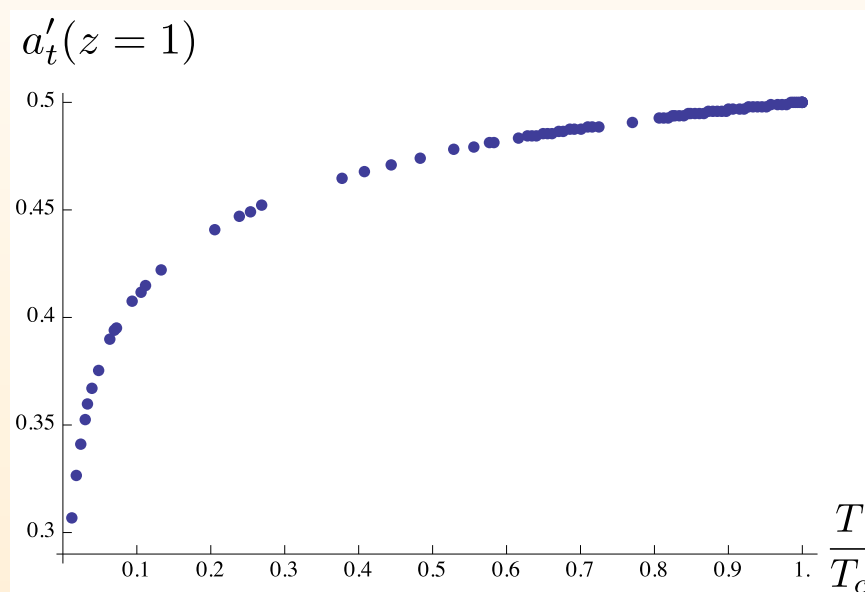


(b)

Mean field transition

$\langle \mathcal{O} \rangle$  approaches constant for  $T \rightarrow 0$

## Electric flux at horizon



(a)

$$\sqrt{-g} f^{tr} \Big|_{\partial AdS_2} = q$$

charge  $q = Q/N$  of 2d gauge field determines impurity representation

Impurity is screened

## Quantum quench and time dependence

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Allow for time dependence of the Kondo coupling and study response of the condensate

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Examples for time dependence of the Kondo coupling:

- Gaussian pulse in IR
- Quench from condensed to normal phase (IR to UV)
- Quench from normal to condensed phase (UV to IR)

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Examples for time dependence of the Kondo coupling:

- Gaussian pulse in IR
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Timescales governed by **quasinormal modes (QNM)**

Complex eigenfrequencies of fluctuations in gravity system

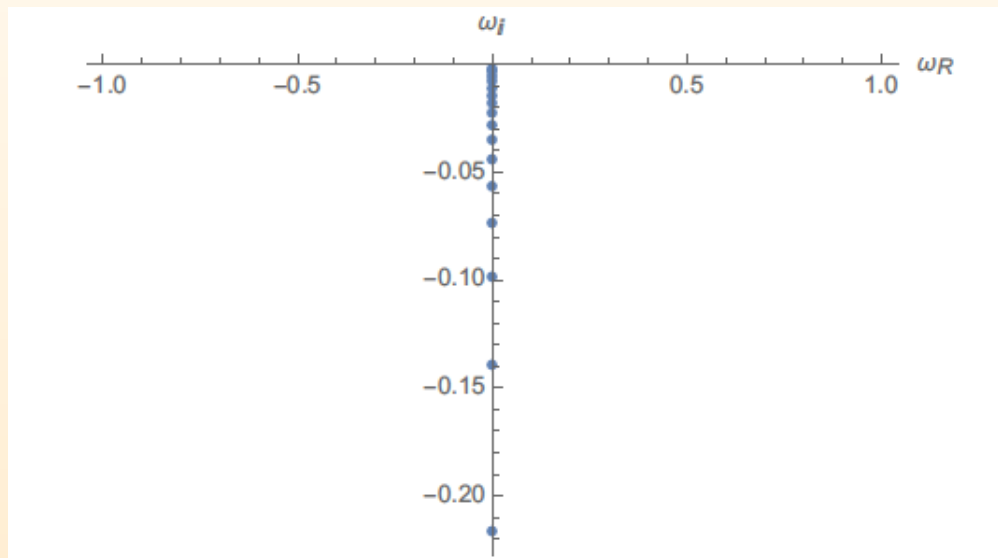
# Quasinormal modes

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Complex eigenfrequencies  $\omega_P$  of gravitational system determine time evolution

The  $\omega_P$  also determine the poles in the Green's functions

In condensed phase:

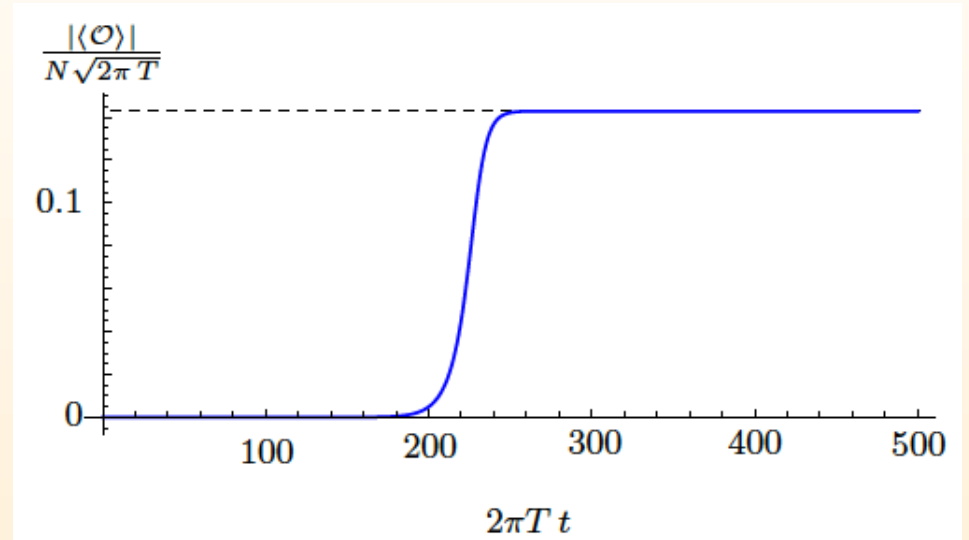
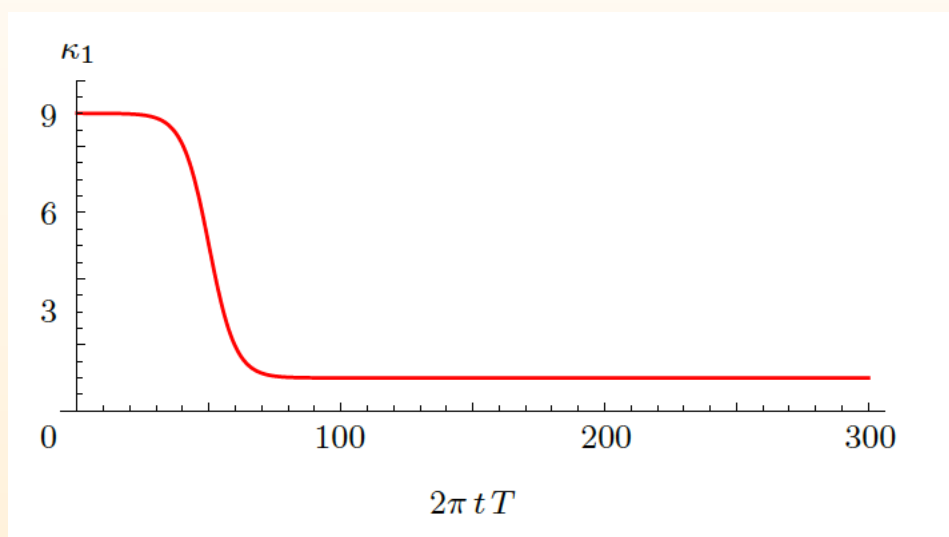


Quasinormal modes on negative imaginary axis,  $\omega_{\text{pole}} \propto -i\langle \mathcal{O} \rangle^2$

Kondo resonance

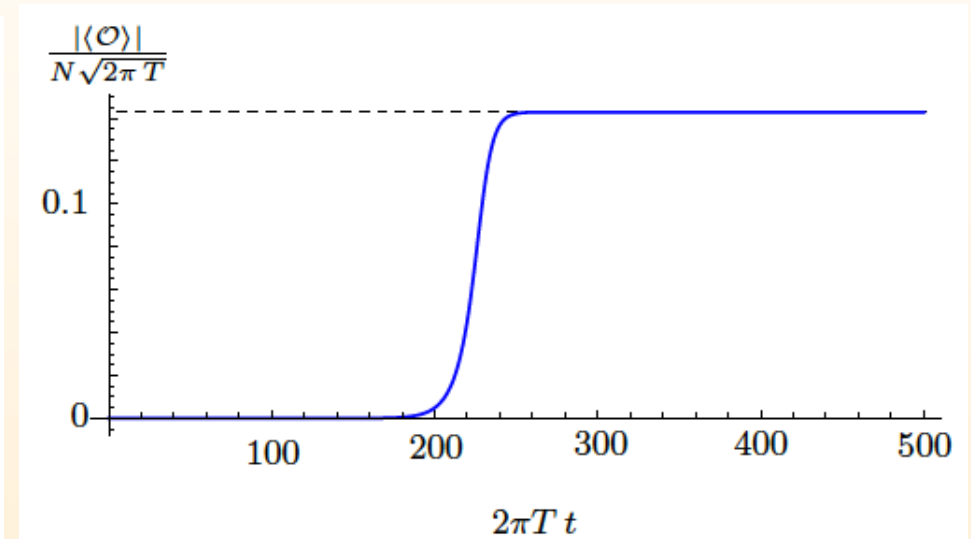
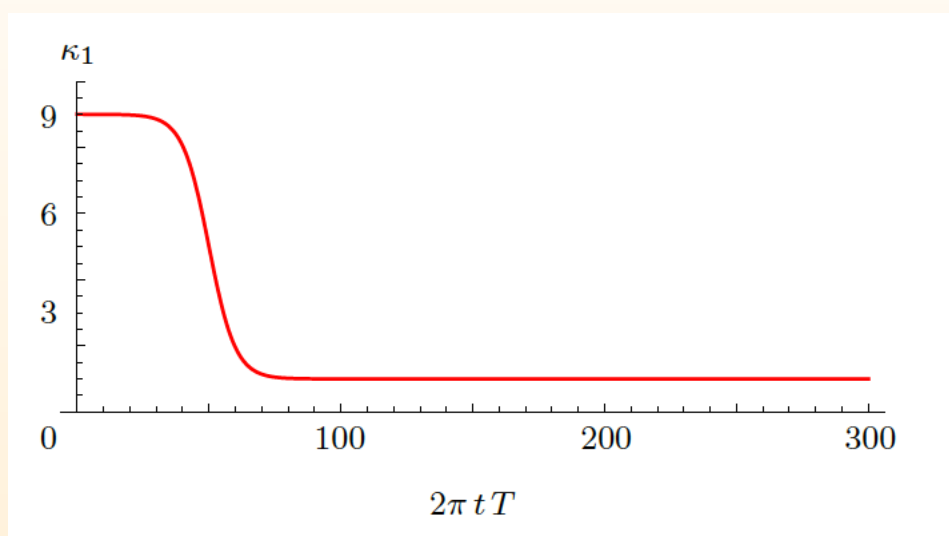
# Quantum quench in Kondo model within gauge/gravity duality

J.E., Flory, Newrzella, Strydom, Wu JHEP (2017)



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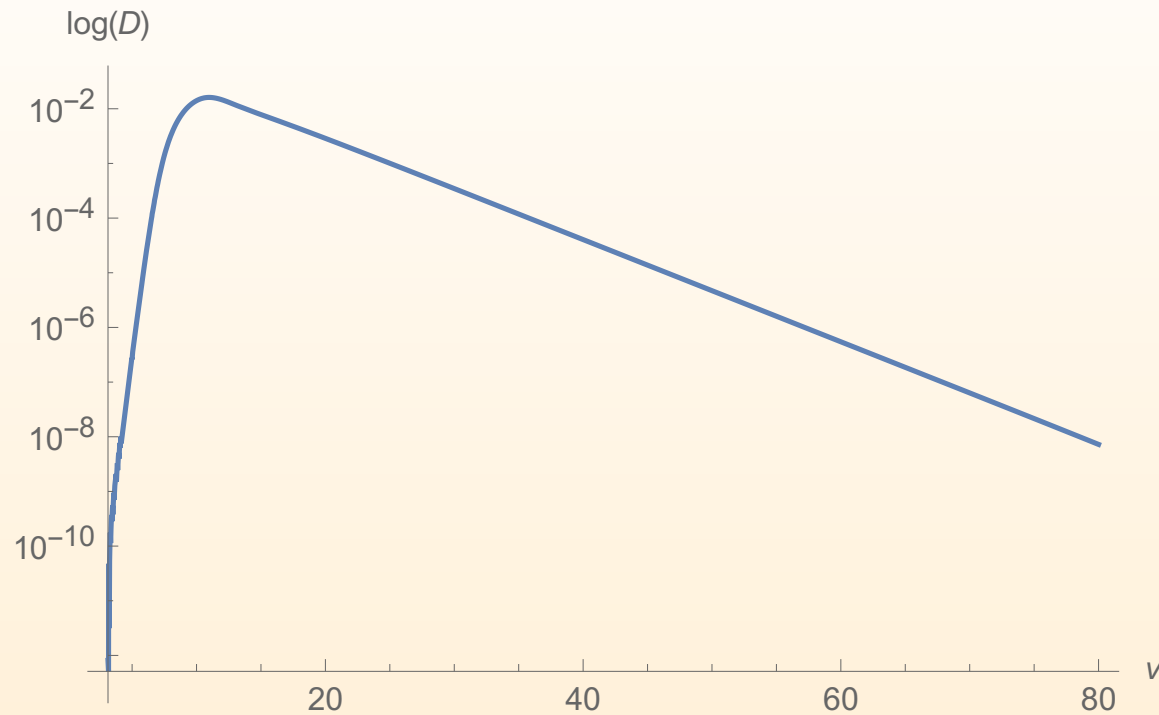
Formation of screening cloud:

Exponential fall-off of number of degrees of freedom at impurity



## Screening happens exponentially fast

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Flux at horizon (proportional to number of impurity degrees of freedom)  
as function of time

## Relation to Sachdev-Ye-Kitaev model

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**Sachdev-Ye-Kitaev model:** Gaussian random couplings  $J_{\alpha\beta,\gamma\delta}$  Sachdev+Ye 1993, Kitaev 2015

$$H = \frac{1}{(2N)^{3/2}} \sum_{\alpha,\beta,\gamma,\delta=1}^N J_{\alpha\beta,\gamma\delta} \chi_{\alpha}^{\dagger} \chi_{\beta} \chi_{\gamma}^{\dagger} \chi_{\delta} - \mu \sum_{\alpha} \chi_{\alpha}^{\dagger} \chi_{\alpha}$$

May be obtained from two-dimensional model as follows:

(Bray, Moore J. Phys. C 1980; Georges, Parcollet, Sachdev PRB 63 92001)

Reduction to single site by averaging over disorder

$$H_S = - \sum_{(ij)} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$S_{\text{eff}} = -\frac{J^2}{2N} \int_0^{\beta} d\tau d\tau' Q(\tau - \tau') \vec{S}(\tau) \cdot \vec{S}(\tau'), \quad Q(\tau - \tau') = \frac{1}{N^2} \langle \vec{S}(\tau) \vec{S}(\tau') \rangle$$

Use Abrikosov fermions  $\chi$  as before,  $S^a = \chi^{\dagger} T^a \chi$ , and take large  $N$  limit

## Relation to Sachdev-Ye-Kitaev model

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Similarly in [Parcollet, Georges, Kotliar, Sengupta cond-mat/9711192](#):

Reduction of large  $N$ -Kondo model to single-site model  
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⇒ Spectral asymmetry of Green's functions

[Sachdev 1506.05111, Phys. Rev. X 5, 041025 \(2015\)](#):

Spectral asymmetry also observed in SYK model

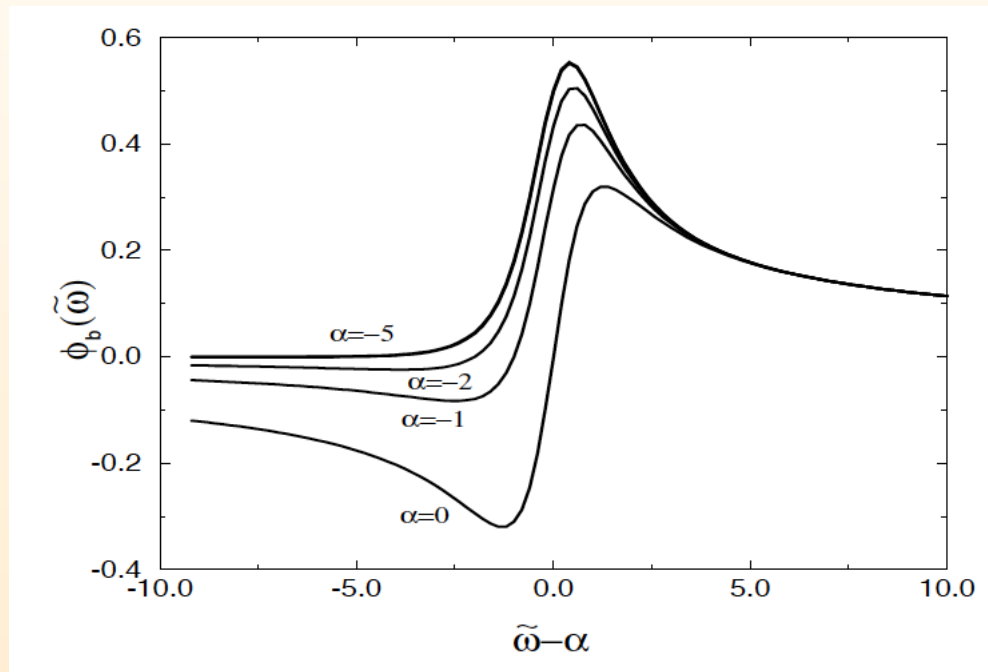
related to entropy of  $\text{AdS}_2$  black hole

$$\omega_s = \frac{qT}{\hbar} \frac{\partial S}{\partial Q}$$

## Kondo model: Two-point functions at $T = 0$

Parcollet, Georges, Kotliar, Sengupta [cond-mat/9711192](#): Large  $N$  Kondo model

Spectral asymmetry  $\omega_s$ : Particle-hole symmetry broken



$-\text{Im}G^R$  for bosonic  $\langle \mathcal{O} \mathcal{O}^\dagger \rangle$

# Fano resonances

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Example of Fano resonance

A related but different Fano resonance is observed in holographic model

# Fano resonances

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## Example of Fano resonance

A related but different Fano resonance is observed in holographic model

Fano (1961):

A discrete set of resonant states interacts with a continuum of states

Example: Light scattering off an atom

Spectral function:

$$\rho_{\text{Fano}}(\omega) = \frac{(\omega - \omega_0 + \frac{\Gamma}{2}q)^2}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2}$$

$q$ : Fano asymmetry parameter

$$q^2 \propto \frac{\text{Probability of resonant scattering}}{\text{Probability of non - resonant scattering}}$$



# Fano resonances

$$\frac{(\omega - \omega_0 + \frac{\Gamma}{2}q)^2}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2} = 1 + \frac{(q^2 - 1) (\frac{\Gamma}{2})^2}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2} + \frac{2q\frac{\Gamma}{2}(\omega - \omega_0)}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2}$$



# Fano resonances

$$\frac{(\omega - \omega_0 + \frac{\Gamma}{2}q)^2}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2} = 1 + \frac{(q^2 - 1) (\frac{\Gamma}{2})^2}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2} + \frac{2q\frac{\Gamma}{2}(\omega - \omega_0)}{(\omega - \omega_0)^2 + (\frac{\Gamma}{2})^2}$$

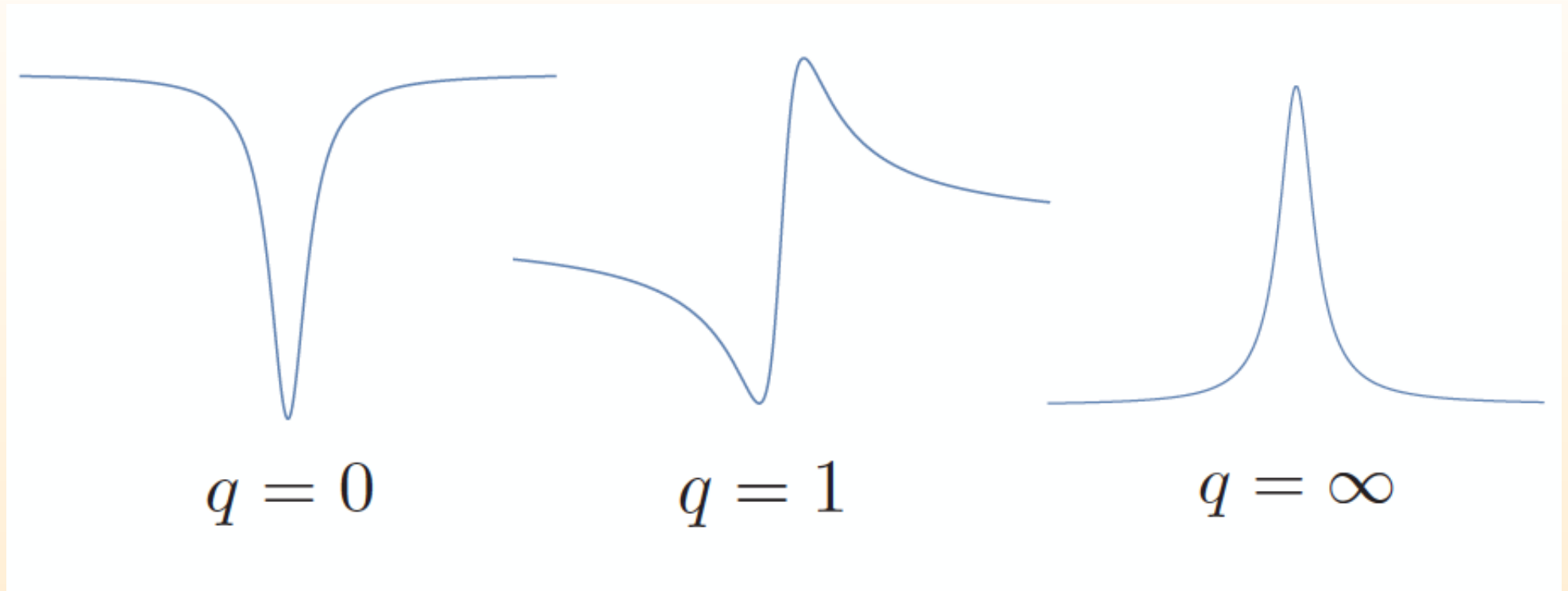


Observed in side-coupled quantum dots

Göres et al PRB 62 (2000) 2188

## Fano resonance

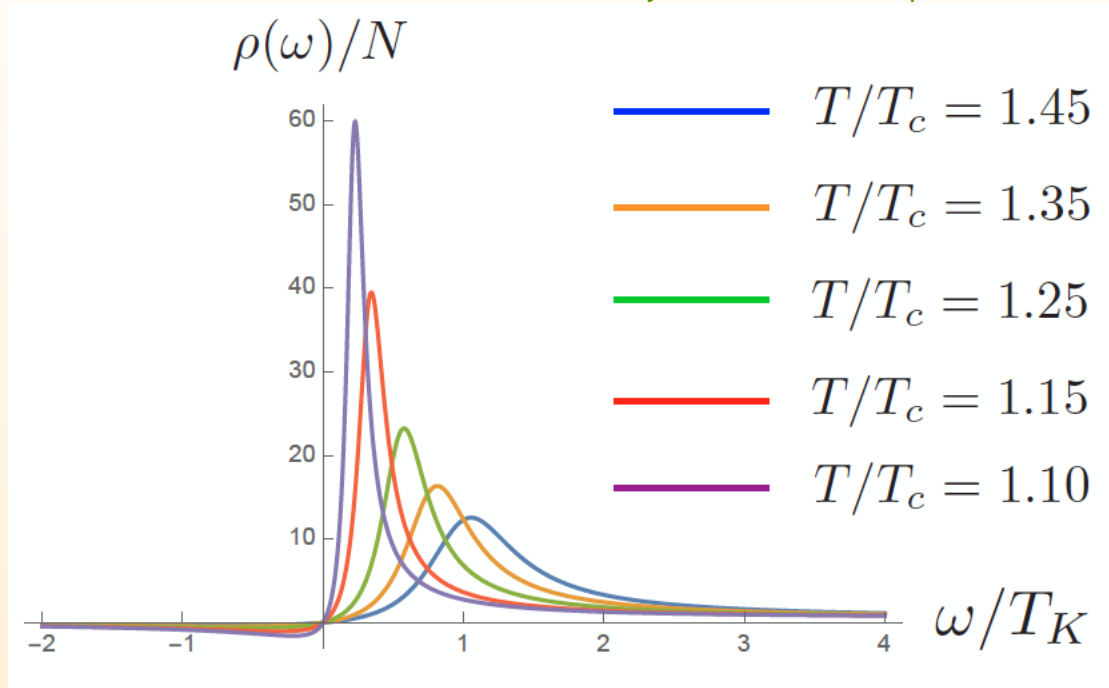
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$$q^2 \propto \frac{\text{Probability of resonant scattering}}{\text{Probability of non - resonant scattering}}$$

# Spectral function $-\text{Im}\langle\mathcal{O}^\dagger\mathcal{O}\rangle$ in normal phase, $\langle\mathcal{O}\rangle = 0$ , $T > T_c$

J.E., Hoyos, O'Bannon, Papadimitriou, Probst, Wu JHEP 1703 (2017) 039 , PRD 96 (2017) 021901



$$\rho_{\text{peak}} \propto \frac{1}{T - T_c}$$

Fano resonance

Here: 0+1 CFT continuum + Resonance with spin impurity = Fano

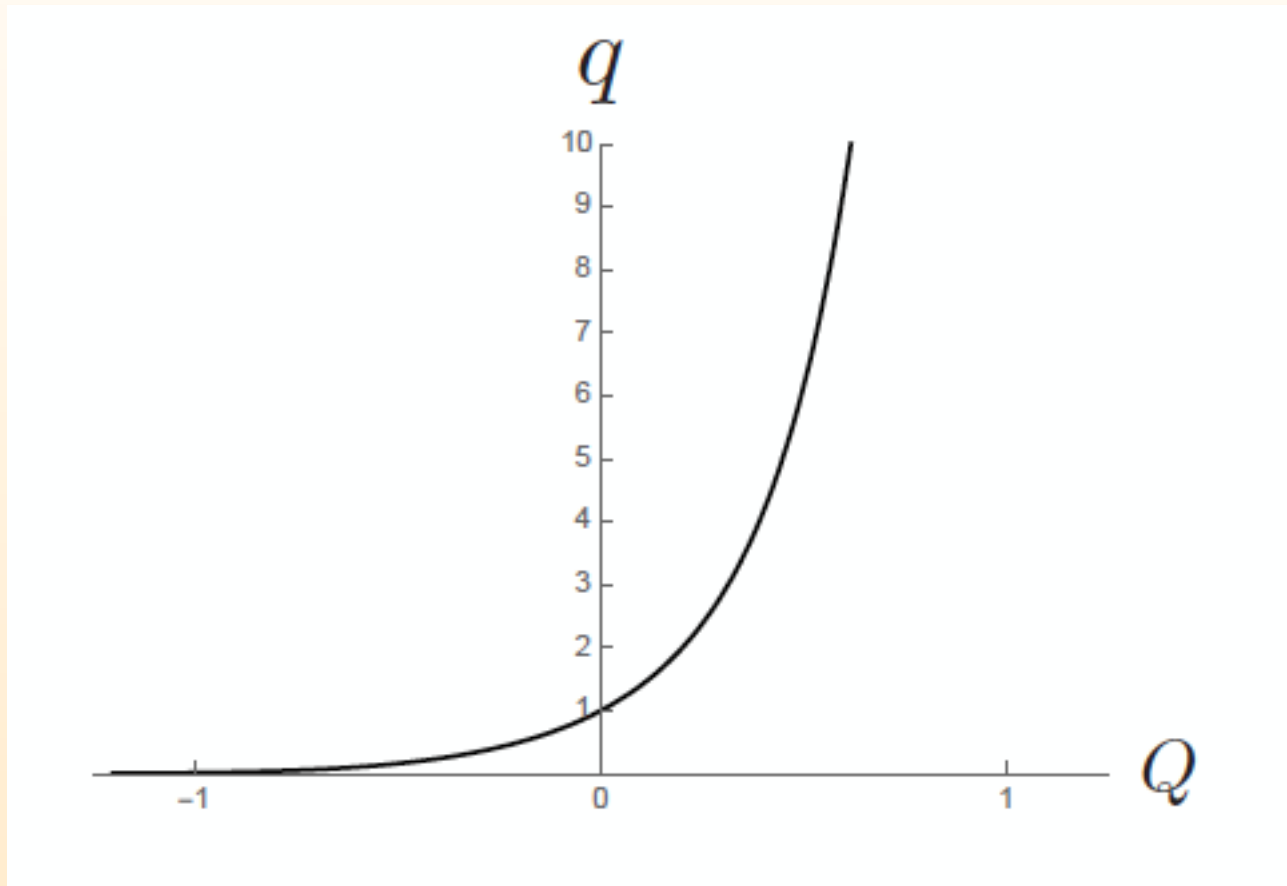
0+1-dimensional conformal symmetry of  $\text{AdS}_2$  subspace

broken by double-trace operator of interaction with spin

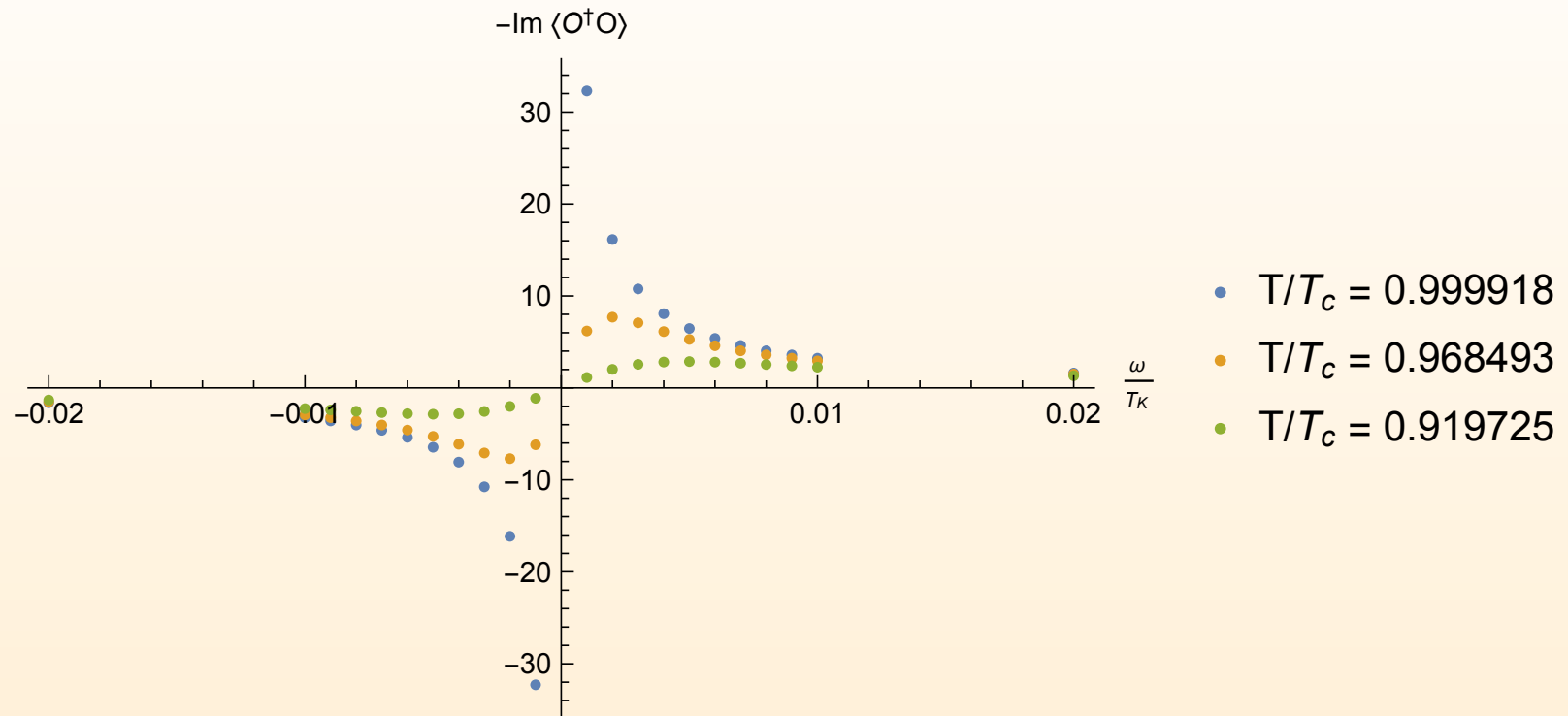
## Dependence of asymmetry parameter $q$ on representation parameter $Q$

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For  $T \gtrsim T_c$ :



# Spectral function $-\text{Im}\langle\mathcal{O}^\dagger\mathcal{O}\rangle$ in condensed phase, $\langle\mathcal{O}\rangle \neq 0, T < T_c$

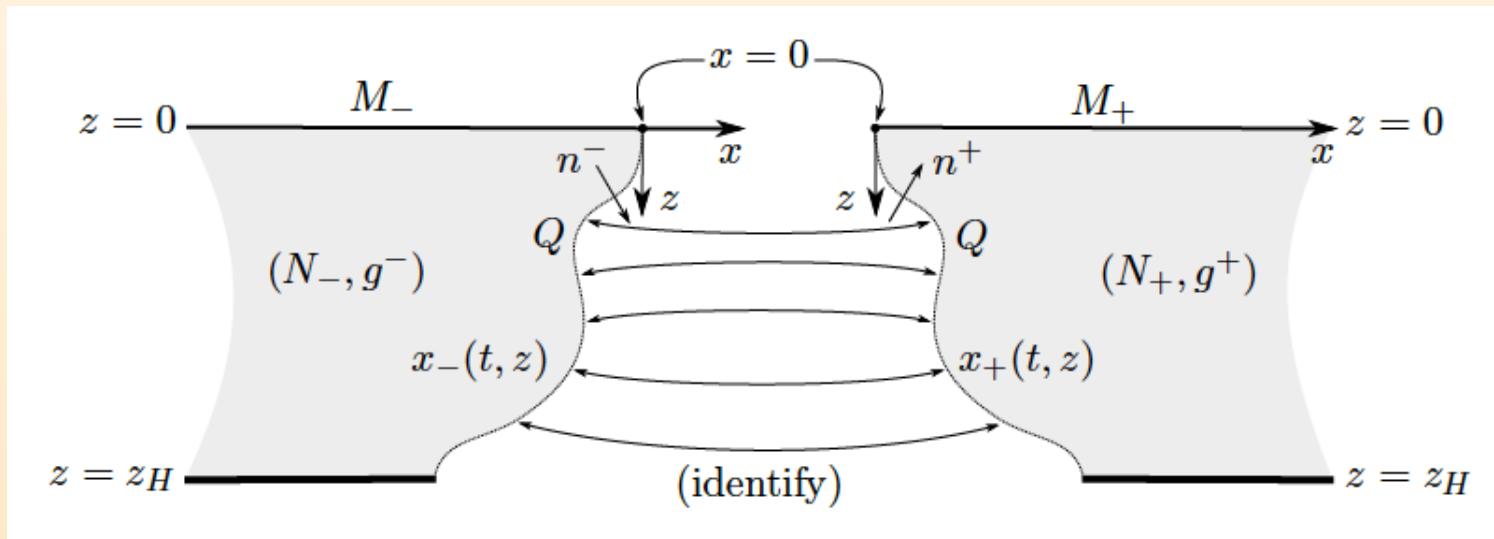
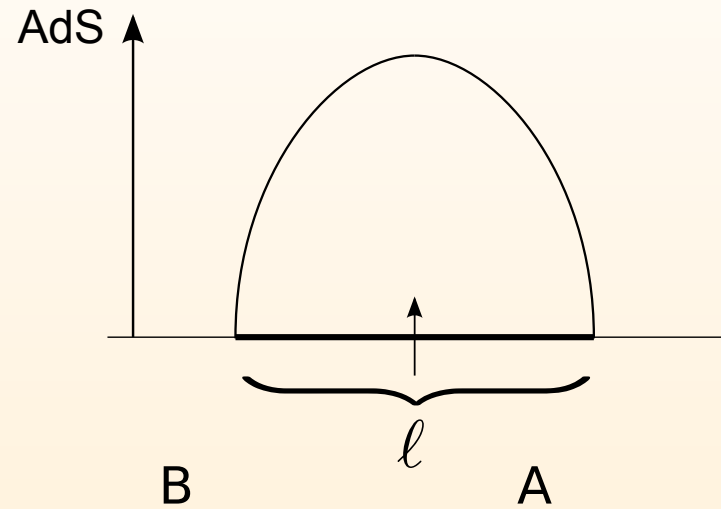


Fano asymmetry parameter  $q = 1$  (i.e. no asymmetry)

Poles of retarded Green's function purely imaginary,  $\omega \propto -i|\langle\mathcal{O}\rangle|^2$

Manifestation of large  $N$  Kondo resonance

$$S_{\text{imp}} = S_{\text{impurity present}} - S_{\text{impurity absent}}$$



# Entanglement entropy for magnetic impurity: Comparison to field theory

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Field theory result:

Sorensen, Chang, Laflorencie, Affleck 2007 , (Eriksson, Johannesson 2011)

$$\Delta S_{\text{imp}}(\ell) = \frac{\pi^2 \xi_K T}{6} \coth(2\pi \ell T) + C_0$$



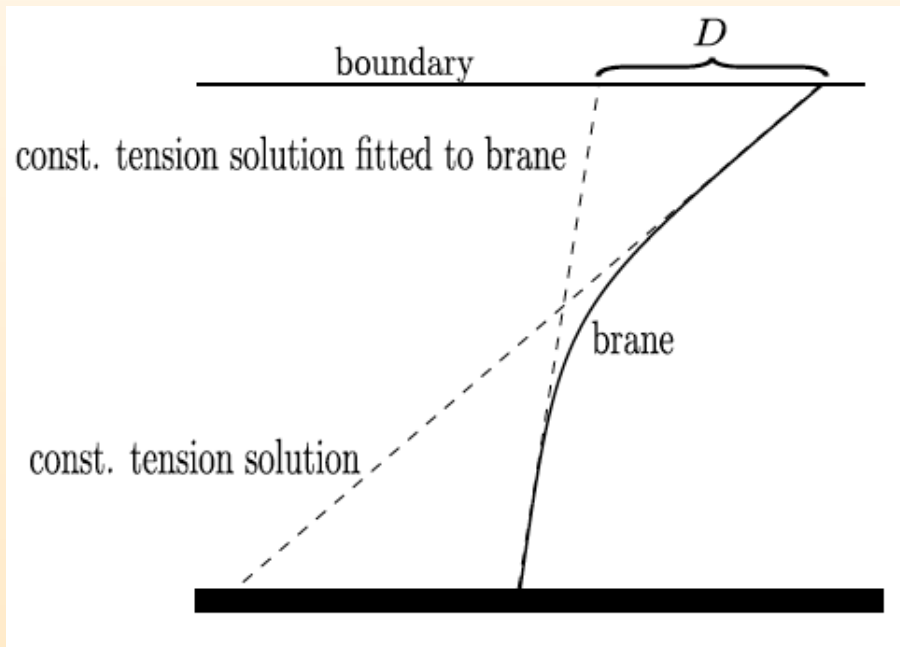
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In our gravity approach: Same result if  $D \propto \xi_k$



## Conclusions and outlook

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- **Kondo model:**
- Magnetic impurity coupled to strongly coupled system
- **Quantum quenches**
  - Dominated by quasinormal modes
- **Two-point functions**
  - Spectral asymmetry
  - Relation to SYK model
- **Entanglement entropy**
  - In agreement with  $g$ -theorem
  - Reproduces large  $N$  field theory result for large  $\ell$
  - Geometrical realization of Kondo correlation length
- The model suggests new models within string theory  
Gravity dual of Kondo model mapping 2d CFT's to string theory in  $\text{AdS}_3 \times X$

## Würzburg: Conference 'Gauge/Gravity Duality 2018': 30th July - 3rd August

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[https://www.physik.uni-wuerzburg.de/en/tp3/gaugegravity\\_duality\\_2018/](https://www.physik.uni-wuerzburg.de/en/tp3/gaugegravity_duality_2018/)